

**MATH 797AP ASYMPTOTIC FAMILIES  
NUMBER FIELDS HOMEWORK PROBLEMS**

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NOTE: Unless otherwise stated,  $K$  and  $F$  are fields of characteristic 0.

1. A polynomial  $f(x) \in \mathbb{Z}[x]$  is *primitive* if the greatest common divisor of its coefficients is 1. Prove *Gauss's Lemma*: If  $f(x), g(x) \in \mathbb{Z}[x]$  are primitive, then  $f(x)g(x)$  is primitive.

[Hint: Fill in all the details for the following idea: Write  $f(x) = \sum_{i=0}^n a_i x^{n-i}$  and  $g(x) = \sum_{j=0}^m b_j x^{m-j}$ . Suppose  $p$  is a prime and  $i, j$  are the smallest indices satisfying  $p \nmid a_i$  and  $p \nmid b_j$ . Consider the coefficient  $x^{i+j}$  in  $f(x)g(x)$ .]

2. Recall that if  $K$  is a field containing  $\mathbb{Q}$ , an element  $\alpha \in K$  is called an *algebraic number* if and only if there exists  $g(x) \in \mathbb{Q}[x]$  such that  $g(\alpha) = 0$ . If  $\alpha$  is an algebraic number, we let  $\text{Irr}_\alpha(x; \mathbb{Q}) = \text{Irr}_\alpha(x)$  be the monic polynomial in  $\mathbb{Q}[x]$  of least degree having  $\alpha$  as a root. An algebraic number  $\alpha$  is called an *algebraic integer* if there exists a **monic** polynomial in  $\mathbb{Z}[x]$  having  $\alpha$  as a root.

(a) Use Gauss' Lemma to prove that if  $\alpha$  is an algebraic integer, then  $\text{Irr}_\alpha(x) \in \mathbb{Z}[x]$ .

(b) Prove that an algebraic number  $\alpha$  is an algebraic integer if and only if  $\text{Irr}_\alpha(x) \in \mathbb{Z}[x]$ .

3. (a) Suppose all roots in  $\mathbb{C}$  of a monic polynomial

$$f(x) = x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0 \in \mathbb{Q}[x]$$

have absolute value 1. Show that  $|a_r| \leq \binom{n}{r}$  for  $0 \leq r \leq n-1$ .

(b) Show that for a fixed positive integer  $n$ , there are only finitely many algebraic integers of degree  $n$  whose minimal polynomial has all of its roots in  $\mathbb{C}$  on the unit circle. [Hint: think about Problem 2.]

(c) Show that if the minimal polynomial of an algebraic integer  $\alpha$  has all its roots on the unit circle, then  $\alpha^k = 1$  for some integer  $k$ . This is a famous theorem of Leopold Kronecker. [Hint: can the sequence of powers of  $\alpha$  be non-repeating?]

4. Let  $\alpha = \sqrt{5} + \sqrt{13}$ . Show that  $\alpha$  is an algebraic integer. Show that  $2|\alpha$  in the sense that  $\alpha/2$  is also an algebraic integer. Show that  $4 \nmid \alpha$ .

5. Let  $\alpha$  be an algebraic number. Show that there exists an integer  $m$  such that  $m\alpha$  is an algebraic integer.

6. Suppose  $\alpha, \beta, \gamma \in K$  where  $K$  is an algebraic number field. Suppose  $\alpha, \beta$  are algebraic integers and  $\gamma$  satisfies  $x^2 + \alpha x + \beta = 0$ . Show that  $\gamma$  is an algebraic integer. Can you generalize this result?

7. Suppose  $f(x) = x^2 + mx + n \in \mathbb{Z}[x]$  is irreducible. Suppose  $K$  is a field of degree 2 over  $\mathbb{Q}$  and containing an element  $\alpha$  such that  $f(\alpha) = 0$ . (For instance  $K = \mathbb{Q}[x]/(f)$  and  $\alpha = x + (f)$  or  $K = \mathbb{Q}(\alpha)$  and  $\alpha$  is given by the quadratic formula, but no matter). Let  $\mathbb{Q}[\alpha] = \{g(\alpha) \mid g(x) \in \mathbb{Q}[x]\}$  be the set consisting of all  $\mathbb{Q}$ -polynomial expressions in  $\alpha$ . Let  $\mathbb{Q}(\alpha)$  be the fraction field of  $\mathbb{Q}[\alpha]$ , i.e. the smallest subfield of  $K$  that contains  $\mathbb{Q}[\alpha]$ . Let  $d_f = m^2 - 4n$  be the discriminant of  $f$  and suppose  $d_f = dk^2$  where  $d$  is *square-free*, meaning the only square that divides it is 1. Show that

- (i)  $\mathbb{Q}[\alpha]$  is a subring of  $K$ ;
- (ii)  $\mathbb{Q}[\alpha] = \mathbb{Q}(\alpha)$ ;
- (iii)  $\mathbb{Q}[\alpha] = \mathbb{Q}[\beta]$ , where  $\beta = (2\alpha + m)/k$  satisfies  $\beta^2 = d$ .

8. Staying with the situation of the preceding problem, let us assume  $\alpha = (-m + \sqrt{d_f})/2 \in \mathbb{C}$  so that  $\beta = \sqrt{d}$ . Let  $\mathcal{O}_K \subseteq \mathbb{Q}(\alpha)$  be the set of algebraic integers in  $K = \mathbb{Q}(\alpha)$ .

- (i) Suppose  $d \equiv 2, 3 \pmod{4}$ . Show that  $\mathcal{O}_K = [1, \sqrt{d}]_{\mathbb{Z}}$ .

**Notation:** Whenever  $\gamma_1, \dots, \gamma_t$  are elements of a field  $F$  and  $R$  is a subring of  $F$ , we let  $[\gamma_1, \dots, \gamma_t]_R$  be the set of all  $\mathbb{R}$ -linear combinations  $\sum_{i=1}^t r_i \gamma_i$ .

(ii) if  $d \equiv 1 \pmod{4}$ , show that  $\mathcal{O}_K = [1, \frac{1+\sqrt{d}}{2}]_{\mathbb{Z}}$ . [Hint: don't forget the useful criterion of problem 2].

- (iii) Show that in either case,  $\mathcal{O}_K = [1, \frac{d+\sqrt{d}}{2}]_{\mathbb{Z}}$ .

9. Let  $\omega = e^{2\pi i/3}$ . What is the quickest way to show that  $\omega$  is an algebraic integer? Now determine  $\text{Irr}_{\omega}(x)$ .

10. Prove or disprove: if  $\alpha$  is an algebraic number, with minimal polynomial  $\text{Irr}_{\alpha}(x)$ , then  $\text{Irr}_{\alpha}(x)$  does not have repeated roots (in  $\mathbb{C}$ ).

11. Let  $\alpha$  be an algebraic number of degree  $n$  over  $\mathbb{Q}$ , i.e.  $\text{Irr}_{\alpha}(x; \mathbb{Q})$  has degree  $n$ . Suppose  $f, g \in \mathbb{Q}[x]$  are polynomials of degree strictly less than  $n$  such that  $f(\alpha) = g(\alpha)$ . Show that  $f = g$ .

12. (Continuation of Problem 8): a) If  $K/\mathbb{Q}$  is a quadratic extension, then  $K = \mathbb{Q}(\sqrt{d})$  for a unique square-free integer  $d$ .

b) If  $d \equiv 2, 3 \pmod{4}$ , let  $D = 4d$ , otherwise let  $D = d$ . Show that the discriminant of  $K$  is  $D$ .

c) Show that if  $K/\mathbb{Q}$  is a quadratic field, then  $|\text{disc}_K| > 1$ . [Remark: Later we will see that if  $K/\mathbb{Q}$  has degree  $n > 1$ , then  $|\text{disc}_K| > 1$ . The latter was conjectured by Kronecker in 1881 and proved by Minkowski in 1890.]

13. Suppose  $R$  is a commutative ring with unit, and  $z_1, \dots, z_n \in R$ . Show that the Vandermonde matrix

$$V(z_1, \dots, z_n) := \left( z_i^{j-1} \right)_{1 \leq i, j \leq n}$$

has determinant

$$\det V(z_1, \dots, z_n) = \prod_{1 \leq i < j \leq n} (z_i - z_j).$$

14. [“Existence of primitive element”] Let  $F$  be a field of characteristic 0. Let  $K/F$  be a finite extension. Show that there exists  $\theta \in K$  such that  $K = F(\theta)$ .

[Hint: here is one way you could proceed; you may use the fact that there are  $n = [K : F]$  distinct embeddings of  $K$  into  $\bar{F}$ , where  $F$  is an algebraically closed field containing  $F$ . Call these  $\sigma_i$ ,  $1 \leq i \leq n$ . For  $i \neq j$ , consider the subset  $V_{ij} := \{\alpha \in K \mid \sigma_i(\alpha) = \sigma_j(\alpha)\}$ . Use linear algebra and the fact that  $K$  is infinite to prove that the union of the  $V_{ij}$  ( $i \neq j$  of course!) is not all of  $K$ .]

15. Suppose  $F$  is a characteristic 0 field,  $A$  is a subring of  $F$  which is integrally closed in  $F$  and  $K/F$  is a finite extension of degree  $n$ . Let  $B$  be the integral closure of  $A$  in  $K$ . Suppose we have  $n$  elements  $\eta_1, \dots, \eta_n$  belonging to  $B$  which form a basis for  $K/F$  and put  $d = \text{disc}_{K/F}(\eta_1, \dots, \eta_n)$ . Recall we have proved in class that  $d \neq 0$ .

(a) Show that

$$dB \subseteq [\eta_1, \dots, \eta_n]_A.$$

(b) Show that if  $F = \mathbb{Q}$  and  $A = \mathbb{Z}$  so that  $B = \mathcal{O}_K$ , for every  $\alpha \in \mathcal{O}_K$ , there exists  $(c_1, \dots, c_n) \in \mathbb{Z}^n$  satisfying  $d \mid c_j^2$  ( $j = 1, \dots, n$ ) such that

$$\alpha = \frac{c_1 \eta_1 + \dots + c_n \eta_n}{d}.$$

[Hint: Given  $\xi \in B$ , write  $\xi = \sum_{j=1}^n x_j \eta_j$  with  $x_1, \dots, x_n \in F$ . Now consider the linear system (for  $i = 1, \dots, n$ )

$$\text{Tr}_{K/F}(\alpha \eta_i) = \sum_{j=1}^n \text{Tr}_{K/F}(\eta_i \eta_j) x_j.$$

Now use the fact that the left hand side is in  $A$  together with *Cramer’s Rule*! (I bet you never thought you’d use Cramer’s Rule in a graduate course; those of you who took algebraic groups might already appreciate the wonders of this undervalued result).

16. Let  $K, F, A, B$  be as in 15) but assume in addition that  $A$  is a PID. Suppose  $M$  is a non-zero finitely generated  $B$ -submodule of  $K$ . Show that  $M$  is a free  $A$ -module of rank  $[K : F]$ .

Hint: we essentially proved this in class for  $M = B$ . The strategy is basically the same, though you might use 15) instead of the dual basis approach we used in class.

17. Suppose  $K = F(\theta)$  where  $f(x) = \text{Irr}_\theta(x; F)$  has degree  $n$ . Show that

$$\text{disc}_{K/F}(1, \theta, \dots, \theta^{n-1}) = (-1)^{n(n-1)/2} \mathbb{N}_{K/F}(f'(\theta)).$$

18. Use 17) to prove the (should-be) well-known formula for the discriminant of the trinomial  $f(x) = x^n + ax + b$ :

$$\text{disc}(x^n + ax + b) = (-1)^{n(n-1)/2} (n^n b^{n-1} + (-1)^{n-1} (n-1)^{n-1} a^n).$$

19. Let  $K = \mathbb{Q}(\theta)$  where  $\theta^3 = 2$ . Show that  $[1, \theta, \theta^2]_{\mathbb{Z}} = \mathcal{O}_K$ . Use this to calculate  $\text{disc}_K$ .

20. Let  $K = \mathbb{Q}(\theta)$  where  $\theta^3 = \theta + 4$ . [check that  $f(x) = x^3 - x - 4$  is irreducible. Show that  $[1, \theta, (\theta + \theta^2)/2]_{\mathbb{Z}} = \mathcal{O}_K$ . Use this to calculate  $\text{disc}_K$ . What is  $\text{disc}_K/\text{disc}_f$ ? Does this agree with the relationship between the power basis  $[1, \theta, \theta^2]$  and the integral basis you found?

21. Suppose  $A$  is a subring of an integral domain  $B$  and that  $B$  is integral over  $A$ , i.e. every element of  $B$  satisfies a monic polynomial with coefficients in  $A$ . Show that  $A$  is a field if and only if  $B$  is.

22. Let  $A$  be a domain. Show that if  $A$  is integrally closed (in its fraction field) then so is the polynomial ring  $A[x]$ .

23. In the polynomial ring  $A = \mathbb{Q}[x, y]$ , let  $\mathfrak{p}$  be the principal ideal  $\mathfrak{p} = (y^2 - x^3)$ . Show that  $\mathfrak{p}$  is a prime ideal but  $A/\mathfrak{p}$  is not integrally closed. [Remark. The existence of such a prime ideal is related to the geometric fact that the curve  $y^2 - x^3 = 0$  has a singularity at  $(0, 0)$ , i.e. both partials of  $y^2 - x^3$  at that point vanish. To learn more about this mysterious remark, you should take algebraic geometry next term with Tom Weston.]

24. (a) Prove that a finite integral domain is always a field.  
 (b) Prove that a PID is always integrally closed.

25. Consider a degree  $n$  polynomial  $f \in \mathbb{Z}[x]$  which is monic and irreducible. Let  $\theta$  be a root of  $f$ .

(a) Suppose  $f'(r) = 0$  for some  $r \in \mathbb{Z}$ . Prove that  $f(r)$  divides  $\text{disc}(1, \theta, \dots, \theta^{n-1})$ . [Hint: what could Gauss tell you about  $f(x)/(x - r)$ ?]

(b) If  $f'(r) = 0$  for some  $r \in \mathbb{Q}$  (as opposed to  $r \in \mathbb{Z}$ ), could you say anything about  $\text{disc}(1, \theta, \dots, \theta^{n-1})$ ?

(c) Suppose there exist  $g, h \in \mathbb{Z}[x]$  such that  $g, h$  both split completely into linear factors over  $\mathbb{Q}$  and such that

$$g(x)f'(x) = h(x) + f(x)e(x)$$

for some polynomial  $e \in \mathbb{Z}[x]$ . Describe a simple procedure for calculating the discriminant  $\text{disc}(1, \theta, \dots, \theta^{n-1})$ .

26. Prove the irreducibility criterion of Eisenstein: Let  $R$  be a PID with field of fractions  $F$ ,  $p$  a prime element of  $R$ , and suppose  $f(x) = x^n + a_{n-1}x^{n-1} + \dots + a_0 \in R[x]$  satisfies: i)  $p|a_i$  for  $0 \leq i \leq n-1$ , and ii)  $p^2 \nmid a_0$ . Then  $f$  is irreducible over  $F$ .

27. Suppose  $p$  is an odd prime number. Let  $\Phi_p(x) = \sum_{i=0}^{p-1} x^i$  be the  $p$ -cyclotomic polynomial.

(a) Show that  $\Phi_p(x)$  is irreducible over  $\mathbb{Q}$ . [Hint: hit  $\Phi_p(x+1)$  with Eisenstein; why is this enough?]

(b) Let  $K = \mathbb{Q}[x]/(\Phi_p(x))$ ; it is a number field of degree  $p-1$  by (a). Let  $\omega = x + (\Phi_p(x))$  be a root in  $K$  of  $\Phi_p(x)$ . Compute  $\text{disc}(\Phi_p(x)) = \text{disc}(1, \omega, \dots, \omega^{p-2})$ .

[Hint: Use 17; for calculating  $\Phi'_p(x)$ , use the fact that  $\Phi_p(x)(x-1) = x^p - 1$ ; to compute  $\mathbb{N}K/\mathbb{Q}(\omega-1)$ , ask yourself if there is an easy way to compute the constant coefficient of the minimal polynomial of  $\omega-1$  (or of  $1-\omega$  if you prefer).]

(c) Show that  $\mathbb{Z}[\omega] = \mathbb{Z}[1-\omega]$  and

$$\text{disc}(1, \omega, \dots, \omega^{p-2}) = \text{disc}(1, 1-\omega, \dots, (1-\omega)^{p-2}).$$

(d) Show that

$$\prod_{k=1}^{p-1} (1 - \omega^k) = p.$$

(e) Show that  $\mathcal{O}_K = \mathbb{Z}[\omega]$ ; thus  $\mathcal{O}_K$  admits a power basis even though its discriminant is far from being square-free. [Hint: Suppose not; then there exists  $\alpha \in \mathcal{O}_K$  which is not in  $\mathbb{Z}[1-\omega]$ . Use (d) and 15 to obtain a contradiction.]

28.<sup>1</sup> Let  $K$  be a number field with signature  $(r_1, r_2)$ . What this means is that if  $\sigma_1, \dots, \sigma_n$  are the  $n = [K : \mathbb{Q}]$  embeddings of  $K$  into  $\mathbb{C}$ , then  $r_1$  of them have image contained in  $\mathbb{R}$  and  $2r_2 = n - r_1$  of them do not. Let  $\text{disc}_K$  be the discriminant of  $K$ , i.e.  $\text{disc}_{K/\mathbb{Q}}(\omega_1, \dots, \omega_n)$  where  $\omega_1, \dots, \omega_n$  is some integral basis for  $K/\mathbb{Q}$ , (i.e. for  $\mathcal{O}_K/\mathbb{Z}$ ).

a) Show that the sign of  $\text{disc}_K$  is  $(-1)^{r_2}$ .

b) Prove *Stickelberger's Theorem*:  $\text{disc}_K \equiv 0, 1 \pmod{4}$ .

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<sup>1</sup>I should probably be giving more of a hint for this problem, or I could just put this footnote alerting you to the fact that this is a “starred” problem. If you get tired of butting heads with Herr Dr Professor Stickelberger, you might consult your favorite book in algebraic number theory for a hint; or try Googling him! Be sure to quote your sources!