Volumes and triangulations of flow polytopes of graphs

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based on joint work with Karola Mészáros (Cornell) and Jessica Striker (NDSU)

slides available at people.math.umass.edu/~ahmorales/talks/DMD.pdf

## Flow polytopes of graphs



## Integral polytopes

#### P a polytope in $\mathbb{R}^N$ with integral vertices:

P is the **convex hull** of finitely many vertices  $\mathbf{v}$  in  $\mathbb{Z}^N$ OR

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# Integral polytopes P a polytope in $\mathbb{R}^N$ with integral vertices: P is the **convex hull** of finitely many vertices v in $\mathbb{Z}^N$ OR P is the intersection of finitely many half spaces *d*-cube: convex hull of $\{0,1\}^d$ $C_d = \{(x_1, \dots, x_d) \mid 0 \le x_i \le 1, i = 1, \dots, d\}$

### Volume of polytopes

normalized volume of  $P := \dim(P)! \cdot (\text{euclidean volume of } P)$ 

Example:

standard simplex  $\Delta_n = \{(x_1, \ldots, x_n) \mid \sum x_i \leq 1, x_i \geq 0\}$ 



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#### Example:

$$\Delta_2$$
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G graph n+1 vertices |E| edges

 $\mathbf{a} = (a_1, a_2, \dots, a_n, -\sum a_i), \quad a_i \in \mathbb{Z}_{\geq 0}^n$ 

 $\mathcal{F}_G(\mathbf{a}) = \{ \text{flows } x(\epsilon) \in \mathbb{R}_{\geq 0}, \, \epsilon \in E(G) \mid \text{netflow vertex } i = a_i \}$ 

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$$x_{12} + x_{13} + x_{14} = a_1$$

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$$x_{34} - x_{13} - x_{23} = a_3$$

$$G \quad x_{14}$$

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dimension of  $\mathcal{F}_G(\mathbf{a})$  is |E| - n

G graph n+1 vertices m edges

 $\mathbf{a} = (1, 0, \dots, 0, -1)$ 

 $\mathcal{F}_G(1,0,\ldots,0,-1)$  is flows on G: netflow first vertex is 1, netflow last vertex -1, netflow other vertices is 0.

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#### Example

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$$x_{23} + x_{24} - x_{12} = 0$$

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$$-x_{14} - x_{24} - x_{34} = -1$$





















Examples of flow polytopes  $\mathcal{F}_G(\mathbf{a}) = \{ \text{flows } x(\epsilon) \in \mathbb{R}_{\geq 0}, \epsilon \in E(G) \mid \text{netflow vertex } i = a_i \}$ 

#### Example

$$x_1 + x_2 + x_3 + x_4 = 1$$



 $\mathcal{F}_G(1,-1)$  is a simplex



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#### Example



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G



 $\Delta_2 \times \Delta_1$ 



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### Flow polytopes are "transcendental" too

flow polytopes have been related to:

- Toric geometry
- Jeffrey–Kirwan residues
- cluster algebras

(Hille 2003) (Baldoni–Vergne 2009) (Danilov–Karzanov–Koshevoy 2012)

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- diagonal harmonics (Mészáros-M-Rhoades 17, Liu-Mészáros-M 18)
- generalized permutahedra
- Schubert polynomials
- Gelfand-Tsetlin polytopes

(Mészáros-St. Dizier 2017)

(Escobar-Mészáros 2018) (Fink-Mészáros-St. Dizier 2018) (Liu-Mészáros-St. Dizier 2019)

### Flow polytopes are "transcendental" too

flow polytopes have been related to:

- Brändén-Huh's Lorentzian polynomials (Mészáros-Setiabatra 2019)
- juggling sequences (Harris-Insko-Omar 2015, B-H-H-M-S 2020)
- rational Catalan combinatorics (B-G-H-H-K-M-Y 2018, Yip 2019, Jang-Kim 2019)
- Alternating sign matrices

(Mészáros-M-Striker 2019)











Theorem (Postnikov 13, Mészáros-M-Striker 19) If G is a planar graph then  $\mathcal{F}_G(1, 0, \ldots, 0, -1)$  is equivalent to an **order polytope** of a certain poset P.



By Stanley's theory of order polytopes: Corollary If G is a planar graph then  $volume \mathcal{F}_G(1, 0, ..., 0, -1) = \#$  linear extensions P.

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A *linear extension* of a poset P is an ordering of the poset elements compatible with the partial order.

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By Stanley's theory of order polytopes: **Corollary** If G is a planar graph then  $\operatorname{volume} \mathcal{F}_G(1, 0, \dots, 0, -1) = \#$  linear extensions P.

•  $\mathcal{F}_{k_7}(1,0,0,0,0,0,-1)$  is not an order polytope. (Behrend-M-Panova 20+)
# Flow polytopes of graphs



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G is the complete graph  $k_{n+1}$  $\mathbf{a} = (1, 0, \dots, 0, -1)$ 



 $\mathcal{F}_{k_{n+1}}(1,0,\ldots,0,-1)$  is called the **Chan-Robbins-Yuen** ( $CRY_n$ ) polytope

has  $2^{n-1}$  vertices, dimension  $\binom{n}{2}$ 



 $v_n := \operatorname{volume}(CRY_n)$ 

n	2	3	4	5	6	7
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•  $v_n = \text{Cat}_0 \text{Cat}_1 \cdots \text{Cat}_{n-2}$  (Zeilberger 99) Cat<sub>n</sub> :=  $\frac{1}{n+1} {2n \choose n}$  are the **Catalan numbers** 

# • $v_n = Cat_0Cat_1 \cdots Cat_{n-2}$ (Zeilberger 99)

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Catalan numbers (1, 1, 2, 5, 14, 42, ...) count more than 200 different objects



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#### Catalan Numbers Page

Content: Below is a list of articles on a diverse topics related to Catalan numbers and their generalizations. I emph some bijective, geometric and probabilistic results.

Warning: This list is vastly incomplete as I included only downloadable articles and books (sometimes, by subscri plan to gradually expand it, but will try not to overwhelm the list, so many related results can be obtained by forwa know if you find it useful.

Basics:



# • $v_n = Cat_0Cat_1 \cdots Cat_{n-2}$ (Zeilberger 99)

$$\mathsf{Cat}_n := rac{1}{n+1} \binom{2n}{n}$$

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... however, there is no combinatorial proof of formula for  $v_n$ 

# Flow polytopes of graphs



lattice points of  $\mathcal{F}_G(\mathbf{a})$  are integral flows on G with netflow  $\mathbf{a}$ let  $K_G(\mathbf{a}) := \#(\mathcal{F}_G(\mathbf{a}) \cap \mathbb{Z}^m) = L_{\mathcal{F}_G(\mathbf{a})}(1)$ 

 $K_{k_{n+1}}(\mathbf{a})$  is called **Kostant's partition function**.

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 $K_{k_{n+1}}(\mathbf{a}) = \#$  of ways of writing  $\mathbf{a}$  as an  $\mathbb{N}$ -combination of vectors  $e_i - e_j$  for  $1 \le i < j \le n+1$ 

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Generating function for  $K_{k_{n+1}}(\mathbf{a})$ :

$$\sum_{\mathbf{a}} K_G(\mathbf{a}) \mathbf{x}^{\mathbf{a}} = \prod_{1 \le i < j \le n+1} (1 - x_i x_j^{-1})^{-1}$$

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Formulas for weight multiplicities and tensor product multiplicities of type A semisimple Lie algebras in terms of  $K_{k_{n+1}}(\mathbf{a})$ .

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"... he said to me that in any good mathematical theory there should be at least one "transcendental" element ... should account for many of the subtleties of the theory. In the Cartan-Weyl theory, he said that my partition function was the transcendental element." Bertram Kostant on profile of I. M. Gelfand (Notices of the AMS, Jan. 2013)

Example





#### Example





Example





volume = 2.





let  $K_G(\mathbf{a}) := \#(\mathcal{F}_G(\mathbf{a}) \cap \mathbb{Z}^m)$ Theorem (Stanley-Postnikov 09, Baldoni-Vergne 09)  $\operatorname{volume}\mathcal{F}_G(1, 0, \dots, 0, -1) = K_G(0, i_2, i_3, \dots, -\sum_k i_k),$ where  $i_k$  is indeg(k) - 1

Corollary

volume
$$(CRY_n) = K_{k_{n+1}}(0, 0, 1, 2, \dots, n-2, -\binom{n-1}{2})$$

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$$a = b = c = 1 \text{ gives } \operatorname{Cat}_1 \cdots \operatorname{Cat}_{n-2}.$$

#### Zeilberger's entire paper

#### PROOF OF A CONJECTURE OF CHAN, ROBBINS, AND YUEN

#### Doron ZEILBERGER<sup>1</sup>

**Abstract:** Using the celebrated Morris Constant Term Identity, we deduce a recent conjecture of Chan, Robbins, and Yuen (math.CO/9810154), that asserts that the volume of a certain n(n-1)/2-dimensional polytope is given in terms of the product of the first n-1 Catalan numbers.

Chan, Robbins, and Yuen[CRY] conjectured that the cardinality of a certain set of triangular arrays  $\mathcal{A}_n$  defined in pp. 6-7 of [CRY] equals the product of the first n-1 Catalan numbers. It is easy to see that their conjecture is equivalent to the following *constant term identity* (for any rational function f(z) of a variable z,  $CT_z f(z)$  is the coeff. of  $z^0$  in the formal Laurent expansion of f(z) (that always exists)):

$$CT_{x_n} \dots CT_{x_1} \prod_{i=1}^n (1-x_i)^{-2} \prod_{1 \le i < j \le n} (x_j - x_i)^{-1} = \prod_{i=1}^n \frac{1}{i+1} \binom{2i}{i} \quad . \tag{CRY}$$

But this is just the special case a = 2, b = 0, c = 1/2, of the *Morris Identity*[M] (where we made some trivial changes of discrete variables, and 'shadowed' it)

$$CT_{x_n} \dots CT_{x_1} \prod_{i=1}^n (1-x_i)^{-a} \prod_{i=1}^n x_i^{-b} \prod_{1 \le i < j \le n} (x_j - x_i)^{-2c} = \frac{1}{n!} \prod_{j=0}^{n-1} \frac{\Gamma(a+b+(n-1+j)c)\Gamma(c)}{\Gamma(a+jc)\Gamma(c+jc)\Gamma(b+jc+1)}$$
(Chip

To show that the right side of (Chip) reduces to the right side of (CRY) upon the specialization a = 2, b = 0, c = 1/2, do the plugging in the former and call it  $M_n$ . Then manipulate the products to simplify  $M_n/M_{n-1}$ , and then use Legendre's duplication formula  $\Gamma(z)\Gamma(z+1/2) = \Gamma(2z)\Gamma(1/2)/2^{2z-1}$  three times, and voilà, up pops the Catalan number  $\binom{2n}{n}/(n+1)$ .  $\Box$ 

**Remarks:** 1. By converting the left side of (Chip) into a contour integral, we get the same integrand as in the Selberg integral (with  $a \rightarrow -a, b \rightarrow -b-1, c \rightarrow -c$ ). Aomoto's proof of the Selberg integral (SIAM J. Math. Anal. 18(1987), 545-549) goes verbatim. 2. Conjecture 2 in [CRY] follows in the same way, from (the obvious contour-integral analog of) Aomoto's extension of Selberg's integral. Introduce a new variable t, stick  $CT_t t^{-k}$  in front of (CRY), and replace  $(1 - x_i)^{-2}$  by  $(1 - x_i)^{-1}(t + x_i/(1 - x_i))$ . 3. Conjecture 3 follows in the same way from another specialization of (Chip).

#### References

19 Nov 1998

arXiv:math/9811108v2 [math.CO]

[CRY] Clara S. Chan, David P. Robbins, and David S. Yuen, On the volume of a certain polytope, math.CO/9810154.

[M] Walter Morris, "Constant term identities for finite and affine root systems, conjectures and theorems", Ph.D. thesis, University of Wisconsin, Madison, Wisconsin, 1982.

<sup>&</sup>lt;sup>1</sup> Department of Mathematics, Temple University, Philadelphia, PA 19122, USA. zeilberg@math.temple.edu http://www.math.temple.edu/~zeilberg/. Nov. 17, 1998. Supported in part by the NSF.

# Flow polytopes of graphs



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# Fundamental theorem + symmetry

Theorem (Stanley-Postnikov 09, Baldoni-Vergne 09)

volume $\mathcal{F}_G(1, 0, \dots, 0, -1) = K_G(0, i_2, i_3, \dots, -\sum_k i_k),$ 

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Example





Fundamental theorem + symmetry Theorem (Stanley-Postnikov 09, Baldoni-Vergne 09)  $volume \mathcal{F}_G(1, 0, ..., 0, -1) = K_G(0, i_2, i_3, ..., -\sum_k i_k),$ Example



volume =  $K_G(0, 1, 1, -2) = 2$ .









Postnikov–Stanley gave a <u>recursive</u> triangulation of  $\mathcal{F}_G$  with simplices indexed by integer flows in a similar flow polytope.

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Fundamental theorem + symmetry Theorem (Stanley-Postnikov 09, Baldoni-Vergne 09) volume  $\mathcal{F}_G(1, 0, \dots, 0, -1) = K_G(0, i_2, i_3, \dots, -\sum_k i_k),$ Example volume =  $K_G(0, 1, 1, -2) = 2$ .  $\begin{pmatrix} 1 \\ 2 \end{pmatrix}$ 

Postnikov–Stanley gave a <u>recursive</u> triangulation of  $\mathcal{F}_G$  with simplices indexed by integer flows in a similar flow polytope. Question 1:

Can we describe this triangulation explicitly? i.e. what simplex corresponds to each integer flow?

#### Fundamental theorem + symmetry

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Corollary  $K_G(0, i_2, i_3, \ldots, -\sum_k i_k) = K_{G^r}(0, i'_2, i'_3, \ldots, -\sum_k i'_k)$  $G^r$  reverse of G,  $i_k$   $(i'_k)$  is indegree -1 vertex k in G  $(G^r)$ .

## Fundamental theorem + symmetry

Theorem (Stanley-Postnikov 09, Baldoni-Vergne 09) volume $\mathcal{F}_G(1, 0, \dots, 0, -1) = K_G(0, i_2, i_3, \dots, -\sum_k i_k),$ 

Corollary  $K_G(0, i_2, i_3, \ldots, -\sum_k i_k) = K_{G^r}(0, i'_2, i'_3, \ldots, -\sum_k i'_k)$  $G^r$  reverse of G,  $i_k$   $(i'_k)$  is indegree -1 vertex k in G  $(G^r)$ .

#### Proof

Both sides are the volume of  $\mathcal{F}_G(10\cdots 0-1) \equiv \mathcal{F}_{G^r}(10\cdots 0-1)$ .

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Theorem (Danilov-Karzanov-Koshevoy 12)

Given a framed graph G, the simplices  $\{\Delta_{\mathcal{C}}\}\$  whose vertices are routes in cliques  $\mathcal{C}$  give a unimodular triangulation of  $\mathcal{F}_G$ .

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- We give an explicit bijection between the integer flows of  $\mathcal{F}_G(0, i_2, i_3, \ldots)$  and the simplices of a DKK triangulation.
- The bijection depends on a framing of G and has interesting symmetry properties.

- (with K. Mészáros, J. Striker) On flow polytopes, order polytopes, and certain faces of the alternating sign matrix polytope, arxiv:1510.03357v2, *Discrete and Computational Geometry*, Volume 62 (2019) 128–163
- Triangulations of flow polytopes, in preparation

Panta Rhei = everything flows (Heraclitus)

Gracias









Postnikov-Stanley triangulation of flow polytopes  $G_0$   $G_1$   $G_2$   $G_2$   $G_2$   $G_1$   $G_2$   $G_2$   $G_2$   $G_2$   $G_1$   $G_2$   $G_2$  G





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- Connection was suggested by François Bergeron

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Theorem (Mészáros, M, Rhoades 2014)

volume equals 
$$\frac{\binom{n}{2}!}{\prod_{i=1}^{n-2}(2i+1)^{n-i-1}} \cdot \mathsf{Cat}_1\mathsf{Cat}_2 \cdots \mathsf{Cat}_{n-1}$$

$$\begin{array}{l} \text{Theorem (Baldoni-Vergne 08, Postnikov-Stanley 08)} \\ \text{graph } G \text{ with } m \text{ edges, } n+1 \text{ vertices, } a_i \geq 0 \\ \text{volume} \mathcal{F}_G(a_1,\ldots,a_n) = \sum_{\mathbf{j}} \binom{m-n}{j_1,\ldots,j_n} a_1^{j_1}\cdots a_n^{j_n} \\ \times K_G(j_1-o_1,\ldots,j_n-o_n,0) \\ o_v = outdeg(v) - 1 \end{array}$$

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(Mészáros-M 2019)

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Corollary:  $K_G(j_1 - o_1, \dots, j_n - o_n, 0)$  are **mixed volumes** and so are **log-concave** in  $j_1, \dots, j_n$ .

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- Original proof uses Jeffrey-Kirwan iterated residues
- give a proof using polytope subdivisions (Mészáros-M 2019)
- define combinatorial objects like **parking functions** that index the volume of  $\mathcal{F}_G(\mathbf{a})$  (B-G-H-H-K-M-Y 2019)







