

# Correspondence

## Is the Class of Cyclic Codes Asymptotically Good?

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**Abstract**—There is the long-standing question whether the class of cyclic codes is asymptotically good. By an old result of Lin and Weldon, long Bose–Chaudhuri–Hocquenghem (BCH) codes are asymptotically bad. Berman proved that cyclic codes are asymptotically bad if only finitely many primes are involved in the lengths of the codes. We investigate further classes of cyclic codes which also turn out to be asymptotically bad. Based on reduction arguments we give some evidence that there are asymptotically good sequences of binary cyclic codes in which all lengths are prime numbers provided there is any asymptotically good sequence of binary cyclic codes.

**Index Terms**—Asymptotically good codes, cyclic codes, Kronecker product.

### I. INTRODUCTION

Throughout the correspondence, all codes are assumed to be linear and defined over a finite field of characteristic two (if not stated otherwise explicitly). A sequence of  $[n_i, k_i, d_i]$  codes with  $n_1 < n_2 < \dots$  is called *asymptotically good* if there exist  $\alpha > 0$  and  $\beta > 0$  such that

$$\frac{k_i}{n_i} \geq \alpha \quad \text{and} \quad \frac{d_i}{n_i} \geq \beta$$

for all  $i = 1, 2, \dots$ . A class of codes is called asymptotically good if there is an asymptotically good sequence in which all codes belong to the class. By [11], Bose–Chaudhuri–Hocquenghem (BCH) codes are *asymptotically bad*, i.e., in the class of BCH codes there are no asymptotically good sequences. However as Berlekamp and Justesen pointed out in [3] a suitable concatenation of BCH codes with maximum distance separable (MDS) codes leads to cyclic codes which perform much better than BCH codes. However using their construction we get again only sequences which are asymptotically bad. Kasami proved in [8] that there is a sequence of binary cyclic codes whose error probabilities approach zero and whose transmission ratio approaches a limit greater than zero as the lengths of codes grow to infinity provided the binary-symmetric channel (BSC) probability of error is small enough. Justesen was the first to describe explicitly an asymptotically good sequence of codes (see [7]). However the codes are not cyclic. Meanwhile many other classes have been checked to be asymptotically good, in particular the class of quasicyclic (not cyclic) codes (see [9], [10]).

At the WCC 2003 meeting in Versailles Kabatianski brought again to our attention the following old problem which was already asked by Assmus, Mattson, and Turyn in 1966 (see [1]).

Is the class of cyclic codes asymptotically good?

Not much is known about that problem (see [5, p. 1035]), and any progress seems to be of interest.

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Note that the automorphism group of a cyclic code of length  $n$  contains a cycle of order  $n$ . In particular the automorphism group acts transitively on the coordinates. Thus cyclic codes belong to the class of *transitive codes*, i.e., codes which admit a transitive automorphism group. Just recently Stichtenoth proved that the class of transitive codes is asymptotically good (see [14]). His construction is based on a new tower of function fields. However this does not cover the case of cyclic codes which are particular transitive codes.

### II. THE RESULTS

For  $n \in \mathbb{N}$ , let  $\sigma(n)$  denote the set of primes dividing  $n$ . Suppose for a moment that  $|\cup_{i=1}^{\infty} \sigma(n_i)| < \infty$  for a sequence  $(C_i)$  of binary cyclic  $[n_i, k_i, d_i]$  codes with  $n_1 < n_2 < \dots$  and all  $n_i$  odd. Then, by a result of Berman (see [4, Theorem 2.1]), the sequence is asymptotically bad. Thus in order to find an asymptotically good sequence of binary cyclic codes we have to assume that  $|\cup_{i=1}^{\infty} \sigma(n_i)| = \infty$ .

To state our main results we define  $s(n)$  for an odd  $n \in \mathbb{N}$  as the smallest positive integer such that  $n \mid 2^{s(n)} - 1$ . Thus the finite field with  $2^{s(n)}$  elements is the smallest extension field of  $\mathbb{F}_2$  containing a primitive  $n$ th root of unity.

Next we decompose the lengths

$$n_i = n_{i_1} \dots n_{i_{s_i}}$$

where  $0 < n_{i_j} \in \mathbb{N}$  and the  $n_{i_j}$  are pairwise coprime (and odd). For each  $i$  let

$$t_i = \text{lcm}_{r \neq k} \{ \gcd(s(n_{i_r}), s(n_{i_k})) \}.$$

With these notations, we have the following theorems.

**Theorem II.1:** Let  $(C_i)$  be a sequence of binary cyclic codes with  $\lim_{i \rightarrow \infty} s_i = \infty$ , i.e., the number of different coprime factors in the lengths grows to infinity for  $i \rightarrow \infty$ . If in addition the sequence  $(t_i)$  is bounded then the sequence  $(C_i)$  is asymptotically bad.

**Theorem II.2:** Suppose that there exists an asymptotically good sequence  $(C_i)$  of binary cyclic codes with bounded sequence  $(t_i)$ . Then there exists an asymptotically good sequence of cyclic codes  $(C'_i)$  over the field  $\mathbb{F}_{2^{t_i}}$  with  $t = \text{lcm}_i \{t_i\}$  where the length of each  $C'_i$  is a power of a suitable prime.

Unfortunately under the assumptions of Theorem II.2, we were not able to prove the existence of a binary asymptotically good sequence in which all cyclic codes have prime power lengths. However under rather mild number theoretical conditions we can reduce prime powers to primes. More precisely, we have

**Theorem II.3:** Let  $C_i$  be an asymptotically good sequence of cyclic codes over a finite field  $F$  of characteristic 2. Suppose that the length of each  $C_i$  is a prime power, say  $p_i^{a_i}$ , for a suitable odd prime  $p_i$  which satisfies

$$s(p_i^a) = p_i^{a-1} s(p_i) \tag{1}$$

for all positive integers  $a$ .

Then there exists an asymptotically good sequence  $(C'_i)$  of cyclic codes over  $F$  in which the length of each  $C'_i$  is a prime (actually equal to  $p_i$ ).

We would like to mention here that there is some evidence that the condition (1) in Theorem II.3 can be dropped. This can be seen as follows.

Let  $p$  be an odd prime. If  $s(p^3) > s(p^2)$  then by a result of Berlekamp

$$s(p^a) = p^{a-1} s(p)$$

for all nonnegative integers  $a \geq 3$  (see [2, Theorem 6.52]). Up to  $2^{12}$  there is no prime  $p$  with  $s(p^3) = s(p^2)$ . Up to  $4 \times 10^{12}$  there are only two primes, namely  $p = 1093$  and  $p = 3511$  which satisfy  $s(p^2) = s(p)$ . Note that  $s(p^2) = s(p)$  is equivalent to the condition  $2^{p-1} \equiv 1 \pmod{p^2}$  which means that  $p$  is a Wieferich prime (see [6]). To date, the only known Wieferich primes are 1093 and 3511.

In order to prove the results above we need some facts on cyclic codes. Most of them seem to be well known. However, to keep the correspondence self-contained we will give the proofs here.

### III. SOME FACTS ON CYCLIC CODES

More generally than we need in the theorems we assume in this section that  $K$  is a finite field with  $|K| = p^t$  and  $p$  any prime. As usual when dealing with cyclic codes we furthermore assume that the lengths of the codes are not divisible by the characteristic  $p$  of the underlying field. Remember that a cyclic code of length  $n$  is an ideal in the factor algebra  $K[x]/(x^n - 1)$ . The condition  $p \nmid n$  forces

$$f = x^n - 1 = \prod_{j=1}^s f_j$$

with pairwise coprime and irreducible polynomials  $f_i \in K[x]$ . Thus, by the Chinese Remainder Theorem, we have

$$K[x]/(x^n - 1) \cong K[x]/(f_1) \oplus \cdots \oplus K[x]/(f_s)$$

where the components  $K[x]/(f_i)$  are finite extension fields of  $K$ . This shows that the ambient space  $K[x]/(x^n - 1)$  is a direct sum of irreducible (minimal) ideals. Such an ideal is generated by a polynomial  $g$  with  $g \mid x^n - 1$  where  $(x^n - 1)/g$  is irreducible. In particular, we have the following well-known fact which is part of Theorem 7 in [13, Ch. 8, Sec. 3].

*Proposition III.1:* Any cyclic code of length  $n$  is a direct sum of irreducible cyclic codes of length  $n$ .

If  $n = n_1 \dots n_s$  with  $n_i$  relatively prime then

$$K[x]/(x^n - 1) \cong K[x_1]/(x_1^{n_1} - 1) \otimes \cdots \otimes K[x_s]/(x_s^{n_s} - 1) \quad (2)$$

where the right-hand side denotes the Kronecker product. To see that note that each element on the right hand side can be written as

$$f = \sum a_{i_1 \dots i_s} x_1^{i_1} \otimes \cdots \otimes x_s^{i_s}$$

with  $0 \leq i_j < n_j$  and  $a_{i_1 \dots i_s} \in K$ . If we choose

$$t(i_1, \dots, i_s) \equiv i_j \pmod{n_j}$$

for  $j = 1, \dots, s$  then the isomorphism in (2) is given by

$$f \mapsto \sum a_{i_1 \dots i_s} x^{t(i_1, \dots, i_s)}.$$

Thus the Kronecker product of cyclic codes of coprime lengths  $n_i$  is again cyclic and of length  $n = n_1 \dots n_s$  (see [13, Ch. 18, Sec. 2, Theorem 1]).

In general the Kronecker product of irreducible cyclic codes of coprime lengths need not to be irreducible. Furthermore an irreducible cyclic code of length  $n = n_1 n_2$  with  $n_1$  and  $n_2$  coprime is in general not a Kronecker product of cyclic codes of lengths  $n_1$  and  $n_2$ . To overcome these difficulties we have to assume additional conditions.

*Proposition III.2:* An irreducible cyclic code  $C$  of length  $n = n_1 n_2$  with  $n_1$  and  $n_2$  coprime is a Kronecker product of irreducible cyclic codes  $C_i$  of length  $n_i$  ( $i = 1, 2$ ) if and only if  $\dim C_1$  and  $\dim C_2$  are coprime.

*Proof:* This is Theorem 2 of [13, Ch. 18, Sec. 3].  $\square$

However, all irreducible cyclic codes of length  $n = n_1 \dots n_s$  with  $n_i$  coprime decompose as a Kronecker product of irreducible cyclic codes of length  $n_i$  over a field big enough (a splitting field for the polynomial  $x^n - 1$  suffices). The use of this splitting field is the key point in our main theorems. Unfortunately we can not drop the assumption on the field. The objective of the next lemmata is to measure how big the field must be.

*Definition III.3:* Let  $p$  be a prime. Then for  $n \in \mathbb{N}$  with  $p \nmid n$  the number  $s(n)$  is defined as the smallest positive integer such that  $n \mid p^{s(n)} - 1$ .

*Proposition III.4:* If  $|K| = p^t$  then the dimensions of the irreducible cyclic codes over  $K$  of length  $n$  are

$$\frac{s(m)}{\gcd(t, s(m))}$$

with  $m \mid n$ .

*Proof:* We have to find the degrees of irreducible (normed) polynomials  $f \in K[x]$  with  $f \mid x^n - 1$ . Clearly,  $f$  has a zero, say  $\xi$  in a suitable extension field of  $K$ . Thus  $\xi^n = 1$  and therefore  $\xi$  is of order  $m$  with  $m \mid n$ . Since  $f$  is irreducible (and normed)  $f$  is the minimal polynomial of  $\xi$  over  $K$ . This polynomial is known to have degree  $\frac{s(m)}{\gcd(t, s(m))}$ .  $\square$

*Proposition III.5:* Let  $n, m \in \mathbb{N}$  be coprime and not divisible by the prime  $p$ . Let  $|K| = p^t$  and suppose that  $\gcd(s(n), s(m)) \mid t$ . Then the irreducible cyclic codes of length  $nm$  are precisely the Kronecker products of irreducible cyclic codes of length  $n$  with irreducible cyclic codes of length  $m$ .

*Proof:* Note that

$$K[x]/(x^{nm} - 1) \cong K[y]/(y^n - 1) \otimes K[z]/(z^m - 1).$$

Thus, by Proposition III.2, we only have to check that the dimensions of the irreducible cyclic codes of length  $n$  are coprime to those of length  $m$ . By Proposition III.4

$$\frac{s(n')}{\gcd(t, s(n'))} \quad \text{resp.} \quad \frac{s(m')}{\gcd(t, s(m'))}$$

are the dimensions of irreducible cyclic codes of length  $n$  resp.  $m$  for suitable  $n' \mid n$  resp.  $m' \mid m$ . Since  $s(n') \mid s(n)$  and  $s(m') \mid s(m)$  we get

$$\gcd(s(n'), s(m')) \mid t.$$

Thus,

$$\gcd(s(n'), s(m')) \mid \gcd(s(n'), t) \quad \text{and} \\ \gcd(s(n'), s(m')) \mid \gcd(s(m'), t)$$

which implies that

$$\frac{s(n')}{\gcd(t, s(n'))} \quad \text{and} \quad \frac{s(m')}{\gcd(t, s(m'))}$$

are coprime.  $\square$

Together with an obvious induction argument, we obtain the following.

*Proposition III.6:* Let  $|K| = p^t$  and let  $n = n_1 n_2 \dots n_s \in \mathbb{N}$  where the  $n_i$  are pairwise coprime and not divisible by  $p$ . Suppose that

$$\gcd(s(n_i), s(n_j)) \mid t$$

for all  $1 \leq i \neq j \leq s$ . Then every irreducible cyclic code of length  $n$  over  $K$  is a Kronecker product of the form

$$C_1 \otimes \dots \otimes C_s$$

where  $C_i$  is an irreducible cyclic code of length  $n_i$  over  $K$ .

*Proof:* Let  $m = n_1 \dots n_{s-1}$ . Since the  $n_i$  are pairwise coprime we have

$$s(m) = \text{lcm}(s(n_1), \dots, s(n_{s-1}))$$

and the hypothesis implies

$$\gcd(s(m), s(n_s)) \mid t.$$

Thus we may apply Proposition III.5 to  $m$  and  $n_s$ . An obvious induction argument yields the assertion.  $\square$

Finally we state two more results on codes which are well known but crucial in the next section when proving the main theorems.

*Lemma III.7:* Let  $C$  be an  $[n, k, d]$  code over a field with  $q$  elements. If  $k \geq 2$  then

$$(q+1)d \leq qn.$$

*Proof:* This follows immediately from the Plotkin bound.  $\square$

*Lemma III.8:* Let  $F/E$  denote an extension of finite fields and let  $C$  be a code over  $E$ . We denote by  $C \otimes F$  the  $F$ -code obtained by extending scalars of  $C$ . Then the minimum distance of  $C \otimes F$  is equal to the minimum distance of  $C$ , i.e.,

$$d(C \otimes F) = d(C).$$

*Proof:* The assertion is obvious since the minimal distance of a code can be read off from linear (in)dependency conditions on the columns of a parity check matrix which do not depend on the field.  $\square$

#### IV. PROOFS OF THE THEOREMS

This section is devoted to the proofs of the main results stated in Section II.

*Proof of Theorem II.1:* Let  $t = \text{lcm}_i \{t_i\}$  and let  $F$  be a field with  $q = 2^t$  elements. By Lemma III.8, we may assume that the codes  $C_i$  are defined over  $F$ . Since  $t_i \mid t$  for each  $i$  we have  $\gcd(s(n_{i_r}), s(n_{i_k})) \mid t$  for  $r \neq k$  and  $r, k \leq s_i$ . By Proposition III.1,  $C_i$  is a direct sum of irreducible cyclic codes of length  $n_i$  over  $F$ . According to III.6 the irreducible codes are of the form

$$U_i = U_{i_1} \otimes U_{i_2} \otimes \dots \otimes U_{i_{s_i}}$$

where  $U_{i_j}$  is an irreducible cyclic code of length  $n_{i_j}$ . We are going to distinguish two cases according to how many components  $U_{i_j}$  of dimension 1 occur in the irreducible summands of  $C_i$ .

Assume first that there exists a  $\gamma$  such that for all  $i$  the code  $C_i$  contains only summands  $U_i$  with at least  $s_i - \gamma$  components  $U_{i_j}$  of dimension 1. Next, we compute a bound for  $\dim C_i$ . Consider first the code,

say  $M$ , which is the sum of all those irreducible cyclic codes of length  $n_i$  of the form

$$U_i = U_{i_1} \otimes U_{i_2} \otimes \dots \otimes U_{i_{s_i}}$$

with  $\dim U_{i_j} = 1$  for  $j = 1, \dots, s_i - \gamma$ . As there are at most  $q - 1$  irreducible cyclic codes of a fixed length over  $F$  the number  $q - 1$  is also a bound for the number of irreducible codes of length  $n_{i_j}$  and dimension 1 over  $F$ . Thus we obtain

$$\frac{\dim M}{n_i} \leq \frac{(q-1)^{s_i - \gamma} \prod_{j=s_i - \gamma + 1}^{s_i} n_{i_j}}{n_i} = \frac{(q-1)^{s_i - \gamma}}{\prod_{j=1}^{s_i - \gamma} n_{i_j}}.$$

To get a bound for  $\dim C_i$ , note that after a renumbering of  $n_{i_j}$  if necessary, we may assume that  $n_{i_1} < n_{i_2} < \dots$ . Taking into account that we may choose the  $\gamma$  factors at arbitrary positions and that the product of any  $s_i - \gamma$  numbers  $n_{i_j}$  is greater than  $\prod_{j=1}^{s_i - \gamma} n_{i_j}$  we get

$$\frac{\dim C_i}{n_i} \leq \binom{s_i}{\gamma} \frac{(q-1)^{s_i - \gamma}}{\prod_{j=1}^{s_i - \gamma} n_{i_j}}.$$

Since  $n_{i_j} \geq j$ , we obtain

$$\frac{\dim C_i}{n_i} \leq \binom{s_i}{\gamma} \frac{(q-1)^{s_i - \gamma}}{(s_i - \gamma)!}.$$

The obvious inequality

$$\binom{s_i}{\gamma} (q-1)^{s_i - \gamma} \leq (1 + (q-1))^{s_i} = q^{s_i}$$

implies that

$$\frac{\dim C_i}{n_i} \leq \frac{q^{s_i}}{(s_i - \gamma)!} = q^\gamma \frac{q^{s_i - \gamma}}{(s_i - \gamma)!}.$$

Finally, the assumption  $\lim_{i \rightarrow \infty} s_i = \infty$  forces  $\lim_{i \rightarrow \infty} \frac{q^{s_i - \gamma}}{(s_i - \gamma)!} = 0$  which proves that the sequence  $(C_i)$  is bad.

Thus, we may assume that in each  $C_i$  of a suitable subsequence we can find a summand  $U_{i_1} \otimes U_{i_2} \otimes \dots \otimes U_{n_{s_i}}$  such that the number  $r_i$  of components  $U_{i_j}$  of dimension strictly bigger than 1 gets larger and larger if  $i$  grows. Applying Proposition III.7, we obtain

$$\frac{d(C_i)}{n_i} \leq \prod_{j=1}^{s_i} \frac{d(U_{i_j})}{n_{i_j}} \leq \left(\frac{q}{q+1}\right)^{r_i}.$$

As  $\lim_{i \rightarrow \infty} r_i = \infty$  the sequence  $(C_i)$  is again bad and the proof is complete since a subsequence of a good sequence is always good.  $\square$

*Proof of Theorem II.2:* By assumption and Theorem II.1, the sequence  $s_i$  is bounded. So by choosing if necessary a subsequence of  $(C_i)$  we may assume that  $s_i = s$  for a suitable positive integer  $s$  and all  $i$ . Extending scalars in  $C_i$  we get a good sequence of cyclic codes over  $\mathbb{F}_{2^t}$  where  $t = \text{lcm}_i \{t_i\}$ . We denote that sequence again by  $(C_i)$ .

Now we proceed by induction on  $s$ . We only have to consider the case  $s > 1$ . Thus, we may split

$$n_i = k_i l_i$$

with  $1 < k_i$  and  $1 < l_i$  coprime and both  $k_i$  and  $l_i$  a product of a fixed number of primes for each  $i$ . Note that according to the condition  $\lim_{i \rightarrow \infty} n_i = \infty$  we may assume that  $\lim_{i \rightarrow \infty} k_i = \infty$ . Since

$$\gcd(s(k_i), s(l_i)) \mid t$$

every irreducible cyclic code of length  $n_i$  over  $\mathbb{F}_{2^t}$  is a Kronecker product of irreducible cyclic codes of lengths  $k_i$  and  $l_i$  by Proposition III.5. Thus, we may write

$$C_i = (A_1^i \otimes V_1^i) \oplus (A_2^i \otimes V_2^i) \oplus \dots \oplus (A_{m_i}^i \otimes V_{m_i}^i)$$

where the  $A_j^i$  are suitable cyclic codes of length  $k_i$  and  $\{V_1^i, \dots, V_{m_i}^i\}$  is a full set of irreducible cyclic codes of length  $l_i$ . Next, we claim that the sequence

$$A_1^1, \dots, A_{m_1}^1, \dots, A_1^i, \dots, A_{m_i}^i, \dots \quad (3)$$

of cyclic codes has a good subsequence. Since

$$\frac{d(C_i)}{n_i} \leq \frac{d(A_j^i)}{k_i} \frac{d(V_j^i)}{l_i} \leq \frac{d(A_j^i)}{k_i}$$

for all  $j$  and since the sequence  $(C_i)$  is good we only have to worry about the dimensions of  $A_j^i$ . Assume first that for each  $\epsilon > 0$  there exists an  $i_0$  such that

$$\frac{\dim A_j^i}{k_i} < \epsilon$$

for all  $i \geq i_0$  and all  $j$ . Since  $\{V_1^i, \dots, V_{m_i}^i\}$  is a full set of irreducible cyclic codes of length  $l_i$  we obviously have  $\sum_j \dim V_j^i = l_i$ . Thus

$$\frac{\dim C_i}{n_i} = \sum_{j=1}^{m_i} \frac{\dim A_j^i}{k_i} \frac{\dim V_j^i}{l_i} < \sum_j \left( \frac{\dim V_j^i}{l_i} \right) \epsilon = \epsilon$$

which contradicts the fact that  $C_i$  is a good sequence. Therefore, for some  $\epsilon > 0$ , there are infinitely many codes in the sequence (3) with

$$\epsilon \leq \frac{\dim A_j^i}{k_i}$$

which proves the claim.

Finally, note that either the lengths of these codes are prime powers or their corresponding sequence of  $t_i$ 's satisfies  $\text{lcm}_i \{t_i\} = t' \mid t$  and we may apply the inductive hypothesis to get a good sequence of cyclic codes over  $\mathbb{F}_{2^{t'}}$ , hence over  $\mathbb{F}_{2^t}$ , in which all lengths are prime powers.  $\square$

*Definition IV.1:* A cyclic code  $C$  of length  $n$  over the field  $F$  is called *degenerate* if it consists of several repetitions of a code  $C'$  of smaller length, say length  $r$ , with  $r \mid n$ . In other words, each  $c \in C$  is of the form  $c = (c', c', \dots, c')$  where  $c' \in C'$  or each codeword is fixed under a cyclic shift of  $r$  coordinates.

*Lemma IV.2:* Let  $C$  be a cyclic code of length  $n$  with check polynomial  $h(x)$ . Then the following conditions are equivalent.

- $C$  is degenerate.
- $h(x) \mid x^r - 1$  for some  $r \mid n$ ,  $r < n$ .

*Proof:* Note that  $C$  is degenerate if and only if there exists an  $r \mid n$  such that each codeword  $c$  is of the form  $c = (c', c', \dots, c')$  where  $c'$  is of length  $r < n$ . This is equivalent to

$$x^r g(x) \equiv g(x) \pmod{x^n - 1}$$

for the generator polynomial  $g(x)$  of  $C$ . Thus, a cyclic code is degenerate if and only if

$$h(x)g(x) = x^n - 1 \mid (x^r - 1)g(x)$$

hence, if and only if

$$h(x) \mid x^r - 1. \quad \square$$

*Lemma IV.3:* Let  $p$  be an odd prime and let  $t, a \in \mathbb{N}$ . Suppose that  $p \nmid t$ . Furthermore let  $F$  be a finite field with  $|F| = 2^t$ . If  $s(p^a) = p^{a-1}s(p)$  then for every primitive  $p^a$ th root of unity  $\alpha$  its minimal polynomial over  $F$  is a polynomial in  $x^{p^{a-1}}$ .

*Proof:* Let  $K$  be a field with  $F \leq K$  and  $|K| = 2^m$  where  $m = \text{lcm}(s(p^a), t)$ . Note that  $K$  is the smallest splitting field of the polynomial  $x^{p^a} - 1$  which contains the field  $F$ . Let  $\alpha \in K$  be a primitive  $p^a$ -th root of unity and let  $m_\alpha(x) \in F[x]$  denote its minimal poly-

nomial over  $F$ . If we put  $s = \gcd(s(p), t)$  then the condition  $p \nmid t$  implies  $s = \gcd(s(p^a), t)$ . Let  $q = p^{a-1}$ . Thus, by [12, Sec. II]

$$\deg m_\alpha(x) = \frac{s(p^a)}{\gcd(s(p^a), t)} = \frac{p^{a-1}s(p)}{s} = \frac{qs(p)}{s}.$$

Clearly

$$x^p - 1 = (x - 1) \prod_i f_i(x)$$

with irreducible normed polynomials  $f_i(x) \in F[x]$ . Furthermore

$$\deg f_i(x) = \frac{s(p)}{\gcd(s(p), t)} = \frac{s(p)}{s}.$$

If we replace  $x$  by  $x^q$  we obtain

$$x^{p^a} - 1 = (x^q - 1) \prod_i f_i(x^q).$$

Note that  $f_i(x^q)$  is of degree  $\frac{qs(p)}{s}$ . Thus we get  $m_\alpha(x) = f_i(x^q)$  for some  $i$ .  $\square$

*Lemma IV.4:* Let  $p$  be an odd prime and let  $t, a \in \mathbb{N}$  with  $p \nmid t$ . Furthermore let  $F$  be a finite field with  $|F| = 2^t$ . Suppose that  $s(p^a) = p^{a-1}s(p)$ . If  $C$  is a cyclic nondegenerate irreducible code over  $F$  of length  $p^a$  then the generator polynomial of  $C$  is a polynomial in  $x^{p^{a-1}}$ .

*Proof:* Let  $g(x)$  denote the generator polynomial and  $h = h(x)$  the check polynomial of  $C$ . Put  $q = p^{a-1}$ . Since  $C$  is nondegenerate  $h \nmid x^q - 1$ , by Lemma IV.2. Furthermore,  $h$  is irreducible as a polynomial over  $F$  since  $C$  is irreducible. Thus,  $h$  is the minimal polynomial over  $F$  of a primitive  $p^a$ th root of unity. According to Lemma IV.3 the polynomial  $h$  is a polynomial in  $x^q$ , i.e.,  $h(x) = \tilde{h}(x^q)$ . Finally,  $g(x)$  is a polynomial in  $x^q$  since  $\tilde{h}(x^q)g(x) = (x^q)^p - 1$ .  $\square$

*Lemma IV.5:* Let  $C$  be a cyclic code over  $F$  of length  $n$ . Suppose that the generator polynomial of  $C$  is a polynomial in  $x^r$  where  $r \mid n$ . Then there exists a cyclic code, say  $\tilde{C}$ , of length  $\frac{n}{r}$  such that

- $d(\tilde{C}) = d(C)$
- $\dim \tilde{C} = \frac{\dim C}{r}$ .

One says that  $C$  is  $r$ -induced from  $\tilde{C}$ .

*Proof:* Let  $g(x^r)$  denote the generator polynomial of  $C$ . If we put

$$\tilde{C} = g(x^r)F[x^r]/(x^n - 1) \cong g(y)F[y]/(y^{\frac{n}{r}} - 1)$$

then

$$C = g(x^r)F[x]/(x^n - 1) = \tilde{C} \oplus \tilde{C}x \oplus \dots \oplus \tilde{C}x^{r-1}.$$

The assertions on  $d(\tilde{C})$  and  $\dim \tilde{C}$  are obvious.  $\square$

*Proof of Theorem II.3:* By Berman [4], we know that infinitely many primes are involved in the lengths of the  $C_i$  defined over  $F = \mathbb{F}_{2^t}$  since the sequence  $(C_i)$  is assumed to be asymptotically good. Taking a subsequence of the sequence  $(C_i)$ , if necessary, we may assume that  $p_i \nmid t$  for all primes  $p_i$  which occur in the lengths.

1) We claim that we may further assume that each  $C_i$  is a sum of nondegenerate irreducible cyclic codes of length  $n_i$ .

In order to prove that we write  $C_i = W_i \oplus W_i'$  where  $W_i$  resp.  $W_i'$  denotes the sum of all irreducible nondegenerate resp. irreducible degenerate direct summands of  $C_i$ . Since a degenerate irreducible cyclic code of length  $p_i^{a_i}$  corresponds to a uniquely determined irreducible cyclic code of the same dimension but of length  $p_i^{a_i-1}$  we deduce that  $p_i^{a_i-1}$  is a bound for  $\dim W_i'$ . Hence,

$$\frac{\dim W_i'}{p_i^{a_i}} \leq \frac{1}{p_i}$$

which converges to 0 for  $i \rightarrow \infty$ . Thus, we obtain

$$\frac{\dim W_i}{p_i^{a_i}} \geq \alpha > 0$$

for all  $i$ . Furthermore  $d(W_i) \geq d(C_i)$  which proves the claim.

2) Now let each  $C_i$  be a direct sum of irreducible nondegenerate cyclic codes of length  $p^{a_i}$  where  $a_i \geq 2$ . According to Lemma IV.4 the generator polynomial of  $C_i$  is a polynomial in  $x^{q_i}$  where  $q_i = p^{a_i-1}$ . Thus, by Lemma IV.5, there exists a cyclic code  $\tilde{C}_i$  of length  $p_i$  with  $d(\tilde{C}_i) = d(C_i)$  and  $\dim \tilde{C}_i = \frac{\dim C_i}{q_i}$ . Since

$$\frac{\dim \tilde{C}_i}{p_i} = \frac{\dim C_i}{p_i q_i} = \frac{\dim C_i}{p_i^{a_i}}$$

the sequence  $(\tilde{C}_i)$  of cyclic codes of length  $p_i$  is good.  $\square$

*Remark IV.6:* Throughout the correspondence we actually never used explicitly the fact that the underlying field is of characteristic two. So all the results remain true in odd characteristic  $p$  provided that the lengths of the considered codes are prime to  $p$ .

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## Unequal Error Protection for Convolutional Codes

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**Abstract**—In this correspondence, unequal error-correcting capabilities of convolutional codes are studied. For errors in the information symbols and code symbols, the free input- and output-distances, respectively, serve as "unequal" counterparts to the free distance. When communication takes place close to or above the channel capacity the error bursts tend to be long and the free distance is not any longer useful as the measure of the error correcting capability. Thus, the active burst distance for a given output and the active burst distance for a given input are introduced as "unequal" counterparts to the active burst distance and improved estimates of the unequal error-correcting capabilities of convolutional codes are obtained and illustrated by examples. Finally, it is shown how to obtain unequal error protection for both information and code symbols using woven convolutional codes.

**Index Terms**—Active burst input-distance, active burst output-distance, free input-distance, free output-distance, unequal error protection, woven convolutional codes.

#### I. INTRODUCTION

Many modern communication systems require different levels of protections against errors. Often some parts of the messages need to be transmitted more reliably than others; we require unequal error protection for the information symbols. For example, in network communication the packet header normally needs better protection, in pulse-code modulation (PCM) the most significant bits are more susceptible to errors, and in multi-user communication there are often different levels for quality of the service. Recently, multichannel communication schemes have appeared on the market. Their subchannels may have different quality; that is, the parts of the code sequence corresponding to bad subchannels should be better protected than those corresponding to good subchannels; we require unequal error protection for the code symbols. Commonly, unequal error protection is obtained by using separate coding schemes, one for each level of protection. In this paper we investigate unequal error protection based on the code and encoding matrix properties of a given convolutional code.

Unequal error protection for information symbols dates back to Masnick and Wolf [1], who investigated linear block codes with unequal error protection. Since then, many papers have appeared with investigations of linear codes with unequal error protection with respect to certain positions in the codewords or certain positions in the information sequences [2]–[5].

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